

The public and press are welcome to attend.

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If you would like any further information or have any special requirements in respect of this Meeting, please contact Laura Allen, Democratic Services Officer on 01507 613471

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Date: Tuesday, 14 November 2023

Dear Councillor,

Audit and Governance Committee

You are invited to attend a Meeting of the **Audit and Governance Committee** to be held at **the Hub, Mareham Road, Horncastle, Lincolnshire LN9 6PH** on **Wednesday, 22nd November, 2023** at **10.15 am**, for the transaction of the business set out in the attached Agenda.

The public and the press may access the meeting via the following link <https://bit.ly/ELDCYT> where a livestream and subsequent recording of the meeting will be available or by attending the Meeting.

Yours sincerely



Robert Barlow
Chief Executive

Conservatives

Will Grover, Sam Kemp, Thomas Kemp and James Knowles

Labour

Rosalind Jackson (Chairman)

Independent

Stef Bristow

East Lindsey Independent Group (ELIG)

Jill Makinson-Sanders (Vice-Chairman) and Edward Mossop

Skegness Urban District Society (SUDS)

Danny Brookes

Independent Co-Optees

Mr Walter Leschenko and Vacancy

AUDIT AND GOVERNANCE COMMITTEE AGENDA

Wednesday, 22 November 2023

Item	Subject	Page No.
1.	<u>APOLOGIES FOR ABSENCE:</u>	
2.	<u>DISCLOSURE OF INTERESTS (IF ANY):</u>	
3.	<u>EXCLUSION OF PUBLIC AND PRESS:</u> That under Section 100 (a)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item on the grounds that, if they were present, there could be disclosed to them exempt information as defined at paragraphs 1, 2 and 3, Part 1 of Schedule 12A to the Act (as amended).	
4.	<u>MINUTES:</u> To confirm the Open and Exempt Minutes of the Meeting held on 27 September 2023.	1 - 30
5.	<u>ACTION SHEET:</u> To confirm the Open and Exempt Actions from the last Meeting.	31 - 36
6.	<u>CHAIRMAN'S UPDATE:</u>	Verbal Report
7.	<u>INTERNAL AUDIT PROGRESS REPORT:</u> To receive a report from the Internal Audit Manager, Assurance Lincolnshire.	37 - 100
8.	<u>EXTERNAL AUDIT PROGRESS REPORT:</u> To receive a report from the External Audit Manager, Mazars.	101 - 122
9.	<u>PREMATURE REPAYMENT OF PWLB BORROWING:</u> To receive a report from the Interim Treasury and Investment Manager PSPS	123 - 134
10.	<u>MID TERM TREASURY MANAGEMENT UPDATE 2023/24:</u> To receive a report from the Interim Treasury & Investments Manager PSPSL.	135 - 162
11.	<u>RISK MANAGEMENT REPORT Q2 - 2023/24:</u> To receive a report from the Group Manager, Insights and Transformation.	163 - 210

12. **INFORMATION GOVERNANCE ANNUAL UPDATE:** **211 - 218**
To receive a report from the Information and Data Protection Manager.
13. **REGULATION OF INVESTIGATORY POWERS ACT (RIPA) 2000 - UPDATE:** **219 - 226**
To receive a report from the Assistant Director Regulatory and Senior Responsible Officer (SRO) for RIPA.
14. **AUDIT AND GOVERNANCE ANNUAL REPORT 2022/23:** **227 - 238**
To receive a report from the Assistant Director, Governance and Monitoring Officer.
15. **CONSTITUTION WORKING PARTY REPORT:** **239 - 242**
To receive a report from the Assistant Director, Governance and Monitoring Officer.
16. **DRAFT AUDIT AND GOVERNANCE COMMITTEE WORK PROGRAMME 2023/24:** **243 - 246**
To consider the Audit & Governance Committee Work Programme 2023/24.
17. **DATE OF NEXT MEETING:**
The programmed date for the next Meeting of this Committee will be 24 January 2024.